

# Order Routing Disclosure

## SEC Rule 606 (formerly SEC11Ac1-6) Disclosure of Order Routing Practices - Quarterly Report

SEC Rule 606 requires all Broker-Dealers (including Introducing Firms) that route customer orders in NMS stocks and listed option securities to make publicly available on quarterly reports that, among other things, identify the venues to which customers' orders are routed for execution and disclose the material aspects of the broker-dealer's relationship with such venues. Material aspects include a description of any arrangement for payment for order flow and any profit-sharing relationship and a description of any terms of such arrangements, written or oral, that may influence a broker's or dealer's order routing decision.

In compliance with Rule 606, Insigneo Securities, LLC ("Insigneo")<sup>1</sup> provides a summary of order routing activity on the following websites:

### **For orders routed through our clearing firm Pershing, LLC:**

- Reports from the 1Q-2025 onwards are available: [www.orderroutingdisclosure.com](http://www.orderroutingdisclosure.com). In the field labeled "Broker-Dealer's Full Name," enter: *Insigneo Securities*.
- Reports prior to 1Q-2025 are available at: <https://nms606.kargroup.com/insigneo/606/14abb5c542>.

### **For orders routed through the clearing firm, Stone X Financial LLC:**

As of November 10, 2024, Insigneo no longer has an agreement with Stone X Financial, LLC ("StoneX") to custody accounts. Should you need to access the order routing information for StoneX, it is available at: <https://public.s3.com/rule606/int/>.

### **Only applicable to clients who previously maintained accounts at Insigneo International Financial Services, LLC:**

- **Period: August 2022 – November 2024:** You can access the report covering August 2022 through November 2024 via this link: <https://nms606.kargroup.com/IIFS/606a/e5acd1c84b>.
- **Period: Before August, 2022:** Reports until July 2022, under the name of Citi International Financial Services, LLC, our Clearing Firm, Pershing LLC has provided the data concerning the routing of order flow on this website: <http://www.orderroutingdisclosure.com/>. You will be required to enter the Broker-Dealer "Citi International" name when you access the website.

### **Only for clients who had accounts at PNC Investments:**

Report before December 1<sup>st</sup>, 2023, the PNC reports are available in the following link:

<https://www.pnc.com/en/personal-banking/investments-and-retirement/606-order-routing.html>.

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<sup>1</sup> Insigneo Financial Group, LLC comprises a number of operating businesses engaged in the offering of brokerage and advisory products and services in various jurisdictions, principally in Latin America. Brokerage products and services are offered through Insigneo Securities, LLC, headquartered in Miami, member of the Financial Industry Regulatory Authority (FINRA) and Securities Investors Protection Corporation (SIPC) <https://www.sipc.org/>. Investment advisory products and services are offered through Insigneo Advisory Services, LLC, an investment adviser registered with the Securities and Exchange Commission. In Uruguay, advisory services are offered through Insigneo Asesor Internacional S.A., Insigneo Gestor Internacional S.A., Insigneo Asesor Latam S.A., and Insigneo Asesores de Inversion de Uruguay, SRL, in Argentina through Insigneo Argentina, SAU and in Chile through Insigneo Asesorias Financieras, SPA. Collectively, these eight operating businesses make up the Insigneo Financial Group. To learn more about the Broker Dealer including its conflicts of interest and compensation practices, please go to <https://insigneo.com/disclosures/> or [www.finra.org](http://www.finra.org). To learn about Insigneo Advisory Services, LLC and any conflicts related to its advisory services, please see its Form ADV and brochure which can be found at Investment Advisor Public Disclosure website <https://adviserinfo.sec.gov/>.