

## Mutual Fund

# Retrocessions

The following is a list of mutual fund families with which Insigneo<sup>1</sup> has a retrocession agreement<sup>2</sup>. The [Reg BI General Disclosure Document](#) provides more information.

ABERDEEN FUNDS	FIRST EAGLE	NOMURA
ABERDEEN OFFSHORE FUNDS	FRANKLIN TEMPLETON FUNDS	NORTHEAST
ACAP	FRANKLIN TEMPLETON OFFSHORE FUNDS	NUVEEN
AEGIS	GABELLI FUNDS	NUVEEN OFFSHORE
AEGON	GABELLI FUNDS	OBERWEIS
ALGER FUNDS	GABELLI OFFSHORE FUNDS	OLSTEIN
ALGER OFFSHORE FUNDS	GAM	PARADIGM
ALLIANCE BERNSTEIN DOMESTIC	GLOBAL MANAGER	PECORA
ALLIANCE BERNSTEIN OFFSHORE	GMO TRUST FUNDS	PERMANENT
ALLSOLUTIONS	GOLDMAN SACHS	PGIM
AMERICAN CENTURY	GUGGENHEIM	PGIM OFFSHORE
AMERICAN FUNDS	GUGGENHEIM OFFSHORE	PICTET
AMUNDI	GUINNESS ATKINSON	PIMCO
AMUNDI OFFSHORE	H2O GLOBAL OFFSHORE	PIMCO OFFSHORE
AQR FUNDS	HARBOR	PINEBRIDGE
AQUILLA FUNDS	HARDING LOEVNER	POLEN
ARIEL FUNDS	HARDING LOEVNER OFFSHORE	POLEN OFFSHORE
AVE MARIA	INVESCO AIM MONEY FUNDS	PRINCIPAL
AXA FUNDS	INVESCO AIM OFFSHORE	PRINCIPAL GLOBAL INVESTORS
AZ OFFSHORE FUNDS	INVESCO FUNDS	QUADRIGA
BARINGS	JACOB	REDWHEEL
BARON	JANUS HENDERSON	RMB
BLACKROCK	JOHN HANCOCK	ROBECO
BLACKROCK OFFSHORE	JPMORGAN	SCHRODER
BLUEBOX	JPMORGAN OFFSHORE	SEI
BNY MELLON	JUPITER	SEI OFFSHORE

<sup>1</sup> Insigneo Financial Group, LLC comprises a number of operating businesses engaged in the offering of brokerage and advisory products and services in various jurisdictions. Brokerage products and services are offered through Insigneo Securities, LLC, a broker-dealer registered with the U.S. Securities and Exchange Commission ("SEC") and member of FINRA and SIPC. Investment advisory products and services are offered through Insigneo Advisory Services, LLC, an investment adviser registered with the SEC. Insigneo has affiliated companies in different locations, so it is important to understand which entity you are conducting business with. Please visit <https://insigneo.com/legalentities/> for more information about the differences between these companies, their locations, and what that means for you.

<sup>2</sup> While we strive to maintain the most updated comprehensive list of mutual fund companies. Other companies could be onboarded before the document is updated. Please contact us for the most updated list.

BRAMSHILL DOMESTIC	LAZARD	SEQUOIA
BRAMSHILL OFFSHORE	LAZARD OFFSHORE	SHELTON
BROWN ADVISORY	LKCM	SMEAD
CALAMOS FUNDS	LONGLEAF	SMEAD OFFSHORE
CALAMOS OFFSHORE	LORD ABBETT FUNDS	SOLITARE
CAPITAL ADVISORS	LORD ABBETT OFFSHORE	STEPSTONE
CAPITAL GROUP	M&G FUNDS	STERLING
CARLYLE	MAN AHL	STEWART
CATALYST	MATTHEWS ASIA	T. ROWE
CAUSEWAY	MATTHEWS ASIA OFFSHORE	THIRD AVE
CHATRIER	MEEDER	THOMPSON
COMPASS GROUP	MFS	THORNBURG
CREDIT CORP	MFS MERIDIAN OFFSHORE	THORNBURG OFFSHORE
CULLEN OFFSHORE	MONEDA	TIMOTHY PLAN
DAVENPORT	MORGAN STANLEY	UNIMAR
DEAN	MORGAN STANLEY OFFSHORE	US GLOBAL INVESTORS
DODGE & COX	MPT	USA MUTUAL FUNDS
DOMINION	MUHLENKAMP	VALUE LINE
DOUBLELINE	MUZINICH	VIRTUS
DOUBLELINE OFFSHORE	NATIXIS	VIRTUS OFFSHORE
EASTSPRING	NATIXIS OFFSHORE	VONTOBEL
EDMOND DE ROTHCHILD	NEEDHAM	VOYA
EVERCORE	NEUBERGER BERMAN	WELLINGTON
FEDERATED HERMES	NEUBERGER BERMAN OFFSHORE	WILLIAM BLAIR
FEDERATED HERMES OFFSHORE	NEW CAPITAL	WILMINGTON
FIDELITY	NICHOLAS	WILSHIRE
FIDELITY OFFSHORE	NINETY ONE	
FIRST EAGLE	NOMURA	