insigneo

Structured Product Issuers Agreements

Below are the names of the structured product issuers with which Insigneo¹ has a distribution arrangement.

Bank of Montreal (BMO) (Reg S and US Registered)	Julius Baer (Reg S only)
Barclays (Reg S and US Registered)	Leonteq (Reg S only)
BBVA (Reg S only and US Registered/3a2)	Marex (Reg S only being negotiated)
BNP (Reg S and US Registered/3a2)	Morgan Stanley (Reg S and US Registered)
Citi (Reg S and US Registered)	Nomura (Reg S only)
Credit Agricole (Reg S and US Registered/3a2)	Santander (Reg S and US Registered/3a2)
EFG (Reg S only)	Soc Gen (Reg S and US Registered/3a2)
Goldman Sachs (Reg S and US Registered)	TD Securities (Reg S and US Registered being negotiated)
HSBC (Reg S and US Registered being negotiated)	UBS (Reg S and US Registered/3a2 – Final approval process)
JPM (Reg S and US Registered)	

Multi-Issuer platforms we may use sometimes:

ACP Securities	Privatam
AXIO	Sun Capital
Capital Vision	Volcap (being negotiated)
Kepler Cheuvreux	

¹ Insigneo Financial Group, LLC comprises a number of operating businesses engaged in the offering of brokerage and advisory products and services in various jurisdictions, principally in Latin America. Brokerage products and services are offered through Insigneo Securities, LLC, headquartered in Miami, member of the Financial Industry Regulatory Authority (FINRA) and Securities Investors Protection Corporation (SIPC) https://www.sipc.org/. Investment advisory products and services are offered through Insigneo Advisory Services, LLC, an investment adviser registered with the Securities and Exchange Commission. In Uruguay, advisory services are offered through Insigneo Asesor Internacional S.A., Insigneo Gestor Internacional S.A., Insigneo Asesor Latam S.A., and Insigneo Asesores de Inversion de Uruguay, SRL, in Argentina through Insigneo Argentina, SAU and in Chile through Insigneo Asesorias Financieras, SPA. Collectively, these eight operating businesses make up the Insigneo Financial Group. To learn more about the Broker Dealer including its conflicts of interest and compensation practices, please go to https://insigneo.com/disclosures/ or www.finra.org. To learn about Insigneo Advisory Services, LLC and any conflicts related to its advisory services, please see its Form ADV and brochure which can be found at Investment Advisor Public Disclosure website https://adviserinfo.sec.gov/.