

SEC Rule 606 (formerly SEC11Ac1-6) Disclosure of Order Routing Practices - Quarterly Report

ISEC Order Routing Disclosure

SEC Rule 606 requires all Broker-Dealers (including Introducing Firms) that route customer orders in NMS stocks and listed option securities to make publicly available on quarterly reports that, among other things, identify the venues to which customers' orders are routed for execution and disclose the material aspects of the broker-dealer's relationship with such venues. Material aspects include a description of any arrangement for payment for order flow and any profit-sharing relationship and a description of any terms of such arrangements, written or oral, that may influence a broker's or dealer's order routing decision.

In compliance with Rule 606, Insigneo Securities, LLC provides a summary of order routing activity on the following websites:

- All orders routed through our clearing firm, Pershing, LLC, is available through a third party vendor at: <https://nms606.karngroup.com/insigneo/606/14abb5c542>
- All orders routed through our clearing firm, Stone X Financial is available at: <https://public.s3.com/rule606/intl/>